

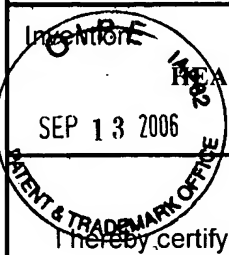
09-14-06

*[Handwritten initials]*

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Natan Bauman	Docket No. NHS-0010
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Application No. 10/773,731	Filing Date February 5, 2004	Examiner Dionne Pendleton	Customer No. 23413	Group Art Unit 2615
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Invention: **HEARING AID SYSTEM**



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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
NHS-0010

In Re Application Of: **Natan Bauman**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/773,731	February 5, 2004	Dionne Pendleton	23413	2615	8615

Title:

**HEARING AID SYSTEM**

SEP 13 2006

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
NHS-0010

In Re Application of: **Natan Bauman**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/773,731	February 5, 2004	Dionne Pendleton	23413	2615	8615

Title:

HEARING AID SYSTEM

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130  
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- ☐ Charge the amount of \_\_\_\_\_
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Dated: **September 13, 2006**

*Signature*

**H.M. Bedingfield**  
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cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Natan Bauman	)	
		)	Group Art Unit: 2615
Serial No.:	10/773,731	)	
		)	Examiner: Dionne Pendleton
Filing Date:	February 5, 2004	)	
		)	Docket No.: NHS-0010
For:	HEARING AID SYSTEM	)	

Commissioner for Patents  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § § 1.56, 1.97 AND 1.98**

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-1449 listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed along with English abstract translations.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material patentability.

Respectfully submitted,

CANTOR COLBURN LLP

Dated: September 13, 2006

By: H.M. Bedingfield  
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Attorney for the Applicant  
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SEP 13 2006

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Substitute for form 1449/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	3	Application Number	10/773,731
				Filing Date	February 5, 2004
				First Named Inventor	Natan Bauman
				Art Unit	2615
				Examiner Name	Dionne Pendleton
				Attorney Docket Number	NHS-0010

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 3,068,954B1	12-18-1962	Strzalkowski	
	2.	US 3,123,678B1	03-03-1964	J.G. Prentiss et al.	
	3.	US 3,688,863B1	09-05-1972	Johnson	See column 2, line 2 - column 68; figures 1,2
	4.	US 3,890,474B1	06-17-1975	Glicksberg	See abstract figures
	5.	US 4,089,332B1	05-16-1978	Rose	
	6.	US 4,606,329B1	08-19-1986	Hough	
	7.	US 5,381,484B1	01-10-1995	Claes, et al.	See column 1, line 41- line 63; figure 1
	8.	US 5,606,621B1	02-25-1997	Reiter, et al.	
	9.	US 5,721,783	02-24-1998	Anderson	
	10.	US 5,987,146B1	11-16-1999	Pluvinage, et al.	
	11.	US 6,021,207B1	02-01-2000	Puthuff, et al.	
	12.	US 6,181,801B1	01-30-2001	Puthuff, et al.	
	13.	US 6,275,596B1	08-14-2001	Fretz, et al.	
	14.	US 5,701,348	12-23-1997	Shennib, et al.	
	15.	US 6,445,799	09-03-2002	Taenzer, et al.	
	16.	US 6,101,259	08-08-2000	Rapps	
	17.	US 5,757,935	05-26-1988	Kang, et al.	
	18.	US 6,009,183	12-28-1999	Taenzer, et al.	
	19.	US 6,704,423	03-09-2004	Anderson, et al.	
	20.	US 2,930,856	03-29-1960	Toht	
	21.	US 6,560,468	05-06-2003	Boesen	
	22.	US 2002/0196958	12-26-2002	Halteren, et al.	
	23.	US 2003/0002700	01-02-2003	Fretz, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
	24.	EP 0 158 391A1	10-16-1985	N.V. Philips' Gloeilampenfabrieken		
	25.	EP 0 158 391B1	10-16-1985	N.V. Philips' Gloeilampenfabrieken	See page 6, line 30 - page 7, line 23; figures	
	26.	DE 35 08 830A1	09-18-1986	Robert Bosch BmbH		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/773,731
				Filing Date	February 5, 2004
				First Named Inventor	Natan Bauman
				Art Unit	2615
				Examiner Name	Dionne Pendleton
Sheet	2	of	3	Attorney Docket Number	NHS-0010
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	27.	FR 2,058,156A1	05-28-1971	Tomatis	See page 2, line 13; - page 3, line 11; figure	
	28.	JP 62151100	07-06-1987	Nonomura- Matsushita Electric Industrial Co., Ltd.	Includes translation	
	29.	DE 36 38 747C1	10-08-1987	AS Audio Service GmbH		
	30.	DE 36 25 891A1	02-04-1988	Robert Bosch GmbH		
	31.	EP 0 695 108A2	01-31-1996	Bruckhoff Apparatebau GmbH		
	32.	WO 92 13430	08-06-1992	Adelman Roger A.	See page 11, line 9 - line 32; figure 3B; see page 25, line 35 - page 26, line 7	

Examiner Signature		Date Considered	
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[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code* Number* Kind Code* (if known)				
	33.	EP 0 288 822	11-02-1988	Siemens AG		
	34.	WO 99 07182	02-11-1999	Decibel Instr. Inc.		
	35.	DE 35 02 178	08-29-1985	Phonak AG		
	36.	WO 00 42817	07-20-2000	Sonic Innovations		
	37.	WO 03 15463A3	02-20-2003	Feeley, et al.		
	38.	WO 2004 25990	03-25-2004	Feeley, et al.		

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